19/10- Art 10/20/10/2/255 10/20/10/2/55

PATENT Attorney Docket No. 6502.0299-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann M. WOLLRATH et al

Serial No.: 08/636,706

Filed: April 23, 1996

For: SYSTEM AND METHOD FOR

FACILITATING DYNAMIC

LOADING OF "STUB"

INFORMATION TO ENABLE A

OCT 1 6 2000

PROGRAM OPERATING IN ONE ADDRESS SPACE TO

INVOKE PROCESSING OF A

REMOTE METHOD OR

PROCEDURE IN ANOTHER

ADDRESS SPACE

Group Art Unit: 2755

Examiner: P. Caldwell

KECEIVED

OCT 19 2000

TG 2700 MAIL ROOM

Assistant Commissioner for Patents Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final Action or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$240.00 as specified by Section 1.17(p)].

Copies of the listed documents are attached.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005

202-408-4090 /- /- 10 0 0ZERBANG GCCCCEZ GSS36703

900,60 CM

Attorney Docket No. 6502.0299-00

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: October 16, 2000

Reg. No. 24,916

Jeffrey A. Berkowitz

Reg. No. 36,743

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

OTPE AMB No. 0651-0011

OCT 1 6 2000 B

Atty. Docket No. 06502.0299-00000 Serial No. 636,706/08

Applicant Ann M. WOLLRATH et al.

Filing Date April 23, 1996

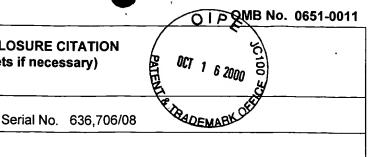
Group 2755

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US4,491,946	01/01/1985	Kryskow, Jr. et al.			
	US4,713,806	12/15/1987	Oberlander et al.			C
	US4,809,160	02/28/1989	Mahon et al.			REC OCT
	US4,823,122	04/18/1989	Mann et al.			
	US4,939,638	07/03/1990	Stephenson et al.			A A
	US4,956,773	09/11/1990	Saito et al.			2000 RE D
	US5,088,036	02/11/1992	Ellis et al.		T	0
	US5,109,486	04/28/1992	Seymour			
	US5,187,787	02/16/1993	Skeen et al.			
	US5,257,369	10/26/1993	Skeen et al.			
	US5,293,614	03/08/1994	Ferguson et al.			
	US5,297,283	03/22/1994	Kelly, Jr. et al.			
	US5,311,591	05/10/1994	Fischer			
	US5,339,435	08/16/1994	Lubkin et al.			
	US5,386,568	01/31/1995	Wold et al.			
· -	US5,390,328	02/14/1995	Frey et al.			
	US5,423,042	06/06/1995	Jalili et al.			
	US5,440,744	08/08/1995	Jacobson et al.			-
	US5,448,740	09/05/1995	Kiri et al.			

Examiner Date Considered:

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449



Atty. Docket No. 06502.0299-00000

Applicant Ann M. WOLLRATH et al.

Filing Date April 23, 1996

Group 2755

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US5,455,952	10/03/1995	Gjovaag			
	US5,471,629	11/28/1995	Risch			7
	US5,475,792	12/12/1995	Stanford et al.		F	REC
	US5,475,817	12/12/1995	Waldo et al.		3	
	US5,481,721	01/02/1996	Serlet et al.		=	I S ZOO
	US5,504,921	04/02/1996	Dev et al.			
	US5,524,244	06/04/1996	Robinson et al.		9	
	US5,553,282	09/03/1996	Parrish et al.		-	
	US5,555,367	09/10/1996	Premerlani et al.			
	US5,557,798	09/17/1996	Skeen et al.			
	US5,560,003	09/24/1996	Nilsen et al.			
	US5,561,785	10/01/1996	Blandy et al.			
	US5,577,231	11/19/1996	Scalzi et al.			
	US5,603,031	02/11/1997	White et al.			
	US5,617,537	04/01/1997	Yamada et al.			
	US5,628,005	05/06/1997	Hurvig			
	US5,640,564	06/17/1997	Hamilton et al.			
	US5,644,768	07/01/1997	Periwal et al.			
	US5,652,888	07/29/1997	Burgess			
	US5,655,148	08/05/1997	Richman et al.			
	US5,659,751	08/19/1997	Heninger			

Examiner Date Considered:

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

OT 1 6 2000 W

Atty. Docket No. 06502.0299-00000

Serial No. 636,706/08

Applicant Ann M. WOLLRATH et al.

Filing Date April 23, 1996

Group 2755

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US5,671,225	09/23/1997	Hooper et al.		d	
	US5,680,573	10/21/1997	Rubin et al.			8 2
	US5,680,617	10/21/1997	Gough et al.		-	–
	US5,684,955	11/04/1997	Meyer et al.		3	19 EVE
	US5,689,709	11/18/1997	Corbett et al.		2	2000 FD
	US5,724,588	03/03/1998	Hill et al.		2	
	US5,737,607	04/07/1998	Hamilton et al.			
	US5,745,695	04/28/1998	Gilchrist et al.			
	US5,745,703	04/28/1998	Cejtin et al.			
	US5,754,849	05/19/1998	Dyer et al.			
	US5,761,656	06/02/1998	Ben-Shachar			
	US5,774,551	06/30/1998	Wu et al.			
	US5,778,228	07/07/1998	Wei			
	US5,790,548	08/04/1998	Sistanizadeh et al.			
	US5,815,711	09/29/1998	Sakamoto et al.			
	US5,829,022	10/27/1998	Watanabe et al.			
	US5,832,529	11/03/1998	Wollrath et al.			
	US5,842,018	11/24/1998	Atkinson et al.			
	US5,844,553	12/01/1998	Hao et al.			
	US5,845,129	12/01/1998	Wendorf et al.			
	US5,860,153	01/12/1999	Matena et al.			

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

ONE No. 0651-0011					
OCT 1 6 2000 0					
TO ADEMARK OF					

Atty. Docket No. 06502.0299-00000

Applicant Ann M. WOLLRATH et al.

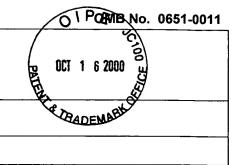
Filing Date April 23, 1996

Group 2755

		U.S. PATENT D	OCUMENTS			
Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	US5,872,928	02/16/1999	Lewis et al.			
	US5,933,497	08/03/1999	Beetcher et al.			
	US5,946,485	08/31/1999	Weeren et al.		_	4
	US5,966,531	10/12/1999	Skeen et al.		2)
	US6,003,763	12/21/1999	Gallagher et al.		00	RE OCT
	FC	DREIGN PATENT	DOCUMENTS		MAIL	19 CEI
Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	EP0 300 516 A2	01/25/1989	EPO			
	EP0 351 536 A3	01/24/1990	EPO			
	EP0 384 339 A3	08/29/1990	EPO			
	EP0 472 874 A1	03/04/1992	EPO			<u> </u>
	EP0 474 340 A2	03/11/1992	EPO			
	EP0 555 997 A2	08/18/1993	EPO			
•	EP0 565 849 A2	10/20/1993	EPO			<u> </u>
	EP0 569 195 A3	11/10/1993	EPO			
	EP0 625 750 A2	11/23/1994	EPO			
	EP0 635 792 A2	01/25/1995	EPO			Abstract
	EP0 651 328 A1	05/03/1995	EPO			
	EP0 660 231 A2	06/28/1995	EPO			
Examiner			Date Considered:			

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449



|--|

Applicant Ann M. WOLLRATH et al.

Filing Date April 23, 1996

Group 2755

	F	OREIGN PATENT	DOCUMENTS			
Examiner Initial*	Document Number	Date	Country	Class	Sub Class	Translation Yes or No
	EP0 697 655 A2	02/21/1996	EPO			
	GB2 253 079 A	08/26/1992	UK			
	GB2 262 825 A	06/30/1993	UK			1.5
	WO92/07335 A1	04/30/1992	PCT			3 0 70
	WO92/09948 A1					
	VVO94/03635 AT 02/1//1994 PC1					
	WO96/03692 A1	02/08/1996	PCT		7	
	WO96/10787 A1	04/11/1996	PCT		9	
	WO96/18947 A1	06/20/1996	PCT			
	WO96/24099 A1	08/08/1996	PCT	-		
	OTHER DOCUMENTS	(Including Autho	r, Title, Date, Perti	nent Pages	s, Etc.)	
	"Transparent Network (Computing," Locus	Computing Corpora	ation, Janua	ry 5, 1995	
	Agha et al., "Actorspaces: An Open Distributed Programming Paradigm," University of Illinois, Report No. UIUCDCS-R-92-1766, Open Systems Laboratory TR No. 8, pp. 1-12, November 1992					
	Ahmed et al., "A Program Building Tool for Parallel Applications," Yale University, pp. 1-23, Decembe 1, 1993					
	Anderson et al., "Persis 13th Symposium on Fa				sing," Proce	eedings of the
	Anonymous, "Change-N 36, No. 8, pages 77-82,	Notification Service XP002108713, No.	for Shared Files," I ew York, US, Augus	BM Technic st 1993	•	·
	Anonymous, "Resource BULLETIN, vol. 16, no.					

Examiner		Date Considered:	
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line citation if not in conformance and not considered. Include copy of this form with next communication to applie			
Form PTO 1449 Patent and Trademark Office - U.S. Departmen		ent and Trademark Office - U.S. Department of Commerce	

			OMB 60. 0651-0011
		CLOSURE CITATION eets if necessary)	OCT 1 6 2000 30
Atty. Docket No. 0	6502.0299-00000	Serial No. 636,706/08	RADEMARKS
Applicant Ann M.	WOLLRATH et al.		RE 00 276
Filing Date April 23	3, 1996	Group 2755	ia - C
			EIVED
	OTHER DOCUMENTS (Including Au	thor, Title, Date, Pertinent Pag	
	Beech et al., "Object Databases as Ger Interfaces, Vol. 13, Nos. 1/3, pp. 221-2: Bertino et al., "Object-Oriented Database Vol. 24, No. 4, pp. 33-47, Los Alamitos, Bevan et al., "An Efficient Reference Cor Problem," Parallel Computing, NL, Else 192, January 1989 Birrell et al., "Distributed Garbage Colle No. 116, pages 1-18, December 15, 19 Birrell et al., "Grapevine: An Exercise in 25, No. 4, pp. 260-274, April 1982 Birrell et al., "Implementing Remote Pro 2, No. 1, pp. 39-59, February 1984 Birrell et al., "Network Objects," Operat Cannon et al., "Adding Fault-Tolerant T Experience, Vol. 24(5), pp. 449-466, Mi Cardelli, "Obliq, A Lightweight Language	30, Amsterdam, NL, January 19 se Management Systems: Conc CA, April 1991 counting Solution To The Distribu- evier Science Publishers, Amster ection for Network Objects," Digit 93 a Distributed Computing," Common ecedure Calls," ACM Transaction ing Systems Review, 27(5), pp. fransaction Processing to LINDA ay 1994	epts and Issues," Computer, ited Garbage Collection rdam, Vol. 9, No. 2, pages 179- ital Systems Research Center, inunications of the ACM, Vol. ins on Computer Systems, Vol. 217-230, December 1993 A," Software-Practice and
	1993 Carriero et al., "Distributed Data Structu 16,1986	ures in Linda," Principles of Prog	ramming Language, pp. 1-
	Carriero et al., "Distributed Data Structu November 1985 Chung et al., "A 'Tiny' Pascal Compiler: September 1978	Part 1: The P-Code Interpreter,	" BYTE Publications, Inc.,
	Chung et al., "A 'Tiny' Pascal Compiler: 1978 Coulouris et al., "Distributed Systems C 1994	concepts and Designs," Second	Ediition, Addison-Wesley,
	Dave et al., "Proxies, Application Interf Workshop On Object Orientation In Op	•	•

Examiner		Date Considered:	
*Examiner: citation if n		citation is in conformance with MPEP 609; draw line through copy of this form with next communication to applicant.	
Form PTO 1449		ent and Trademark Office - U.S. Department of Commerce	

	,	PATE No. 0651-0011			
	INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	OCT 1 6 2000 U			
Atty. Docket No. 06502.0299-00	000 Serial No. 636,706/08	OCT 1 6 2000 W			
Applicant Ann M. WOLLRATH	et al.	RE 00 27			
Filing Date April 23, 1996 Group 2755					
IAIL R					
OTHER DO	CUMENTS (Including Author, Title, Date, Pertinent I				
Deux et al., "The O2 System," Communications Of The Association For Computing Machinery, Vol. 34, No. 10, pages 34-48, October 1, 1991					
Dijkstra, "Self-stabilizing Systems in Spite of Distributed Control," Communications of the ACM, Vol. 17, No. 11, pp. 643-644, November 1974					
Dolev et al., "On the Minimal Synchronism Needed for Distributed Consensus," Journal of the ACM, Vol. 34, No. 1, pp. 77-97, January 1987					
Dourish, "A Divergence-Based Model of Synchrony and Distribution in Collaborative Systems," Xerox Technical Report EPC-1194-102, pp. 1-10, 1994					
Drexler et al., "Incentive Engineering for Computational Resource Management," The Ecology of Computation, Elsevier Science Publishers B.V., pp. 231-66, 1988					
Droms, "RF6	C 1541 Dynamic Host Configuration Protocol," http://wbin/rfc/rfc1541.html , pages 1-33, October 1993	ww.cis.ohio-			
Emms, "A D	Definition Of An Access Control Systems Language," Co ol. 6, No. 4, pp. 443-454, January 1, 1987	Computer Standards And			
Gelernter et	al., "Parallel Programming in Linda," Yale University, pr	n 1-21 January 1985			

	Computation, Elsevier Science Publishers B.V., pp. 231-66, 1988			
	Droms, "RFC 1541 Dynamic Host Configuration Protocol," http://www.cis.ohio-			
	state.edu/htbin/rfc/rfc1541.html>, pages 1			
	Emms, "A Definition Of An Access Control Systems Language," Computer Standards And			
	Interfaces, Vol. 6, No. 4, pp. 443-454, Jan	uary 1, 1987		
	Gelernter et al., "Parallel Programming in	Linda," Yale University, pp. 1-21, January 1985		
	Gelernter, "Generative Communication in Systems, Vol. 7, No. 1, pp. 80-112, Janua	Linda," ACM Transactions on Programming Languages and ry 1985		
	Gosling et al., "The Java (TM) Language	Specification," Addison-Wesley, 1996		
	Gray et al., "Leases: An Efficient Fault-To ACM, pp. 202-210, 1989	lerant Mechanism for Distributed File Cache Consistency,"		
	Howard et al., "Scale and Performance in Systems, Vol. 6, No. 1, pp. 51-81, Februa	a Distributed File System," ACM Transactions on Computer ry 1988		
,	Hunt, "IDF: A Graphical Data Flow Programming Language for Image Processing and Computer Vision," Proceedings of the International Conference on Systems, Man, and Cybernetics, pp. 351-360, Los Angeles, Nov. 4-7, 1990			
	IBM (TM) Technical Disclosure Bulletin, "Object Location Algorithm," Vol. 36, No. 09B, pp. 257-258, September 1993			
	IBM (TM) Technical Disclosure Bulletin, "Retrieval of Qualified Variables Using Extendible Hashing," Vol. 36, No. 12, pp. 301-303, December 1993			
<u> </u>	IBM, "Chapter 6 - Distributed SOM (DSOM)," SOMobjects Developer Toolkit Users Guide, Version			
	2.1, pages 6-1-6-90, October 1994			
Examiner		Date Considered:		

Examiner	Date Considered:
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449 Pa	tent and Trademark Office - U.S. Department of Commerce

OCT 1 6 2000 W OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION	
(Use several sheets if necessary)	

Atty. Docket No. 06502.0299-00000	Serial No. 636,706/08	RE 00 271
Applicant Ann M. WOLLRATH et al.		CE O P
Filing Date April 23, 1996	Group 2755	NE SE
		ROO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
Jones et al., "Garbage Collection: Algorithms for Automatic Dynamic Memory Management," pages 165-175, John Wiley & Sons, 1996
Kambhatla et al., "Recovery with Limited Replay: Fault-Tolerant Processes in Linda," Oregon Graduate Institute, Technical Report CSIE 90-019, pp. 1-16, September 1990
Kay et al., "An Overview of the Raleigh Object-Oriented Database System," ICL Technical Journal, Vol. 7, No. 4, pp. 780-798, Oxford, GB, November 1991
 Krasner, "The Smalltalk-80 Virtual Machine," BYTE Publications Inc., pp. 300-320, August 1991
Lamport et al., "The Byzantine Generals Problem," ACM Transactions on Programming Languages and Systems, Vol. 4, No. 3, pp. 382-401, July 1982
LINDA Database Search, pp. 1-68, July 20, 1995
Lindholm et al., "The Java (TM) Virtual Machine Specification," Addison Wesley, 1996
Liskov et al., "Distributed Object Management in Thor," International Workshop on Distributed Object Management, p. 12, 1992
McDaniel, "An Analysis of a Mesa Instruction Set," Xerox Corporation, May 1982
 Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993
 Mummert et al., "Long Term Distributed File Reference Tracing: Implementation and Experience," Carnegie Mellon University School of Computer Science, pp. 1-28, November 1994
Orfali et al., "The Essential Distributed Objects Survival Guide," Chapter 11: Corba Commercial ORBs, pages 203-215, John Wiley & Sons, Inc., 1996
Ousterhout et al., "The Sprite Network Operating System," Computer, IEEE, pp. 23-36, February 1988
Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," IEEE Conference Proceedings, The 10th Annual International Symposium on Computer Architecture," 1983
Pier, "A Retrospective on the Dorando, A High-Performance Personal Computer," Xerox Corporation, August 1983
Pinakis, "Using Linda as the Başis of an Operating System Microkernel," University of Western Australia, Department of Computer Science, pp. 1-165, August 1993
Sharrott et al., "ObjectMap: Integrating High Performance Resources into a Distributed Object-oriented Environment," ICODP, 1995

Examiner	Date Considered:	
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449 Patent and Trademark Office - U.S. Department of Comm		

	OTPE COO W OMB	No. 0651-0011
INFORMATION DISCLOSURE CITATION CHADEMAN (Use several sheets if necessary)		
Atty. Docket No. 06502.0299-00000	Serial No. 636,706/08	REC
Applicant Ann M. WOLLRATH et al.	MA	61 Al∃
Filing Date April 23, 1996	Group 2755	ED 2000
	0	-

Thompson, "Regular Expression Search Algorithm," Communications of the ACM, Volume II, Numbe 6, p. 149 et seg., June 1968
 Waldo et al., "Events In An RPC Based Distributed System," Proceedings Of The 1995 USENIX Technical Conference, Proceedings USENIX Winter 1995 Technical Conference, New Orleans, LA, USA, 16-20, pages 131-142, January 1995
Wilson et al., "Design of the Opportunistic Garbage Collector," Proceedings of the Object Oriented Programming Systems Languages And Applications Conference, New Orleans, Vol. 24, No. 10, October 1989
Wu, "A Type System For An Object-Oriented Database System," Proceedings of the International Computer Software and Applications Conference (COMPSAC), Tokyo, Japan, pp. 333-338, Sept. 11 13, 1991

Examiner	Date Considered:	
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
Form PTO 1449 Pate	Patent and Trademark Office - U.S. Department of Commerce	